

# An Integrated 4-f-Imaging-Based Reconfigurable Optical Add-drop Multiplexer Employing an Opto-VLSI Processor

Mingya Shen<sup>1</sup>, Kamal Alameh<sup>1</sup>, and Yong Tak Lee<sup>2</sup>

<sup>1</sup>Centre of Excellence for MicroPhotonic System, Edith Cowan University  
270 Joondalup Drive, Joondalup WA 6027 Australia.

<sup>2</sup>Department of Information and Communications, Gwangju Institute of Science and Technology (GIST), South Korea  
[m.shen@ecu.edu.au](mailto:m.shen@ecu.edu.au)

**Abstract**— A novel integrated reconfigurable optical add-drop multiplexer (RODAM) structure is proposed and demonstrated experimentally. The ROADM employs an interface substrate that enables the integration of a fiber collimator array and an Opto-VLSI processor to realise a 4-f imaging system through optical beam steering. Experimental results demonstrate the principle of add/drop multiplexing with crosstalk of less than -27dB and insertion loss of less than 8dB over the C-band.

**Keywords** — Microphotonics, wavelength division multiplexing, liquid crystal on silicon.

## I. INTRODUCTION

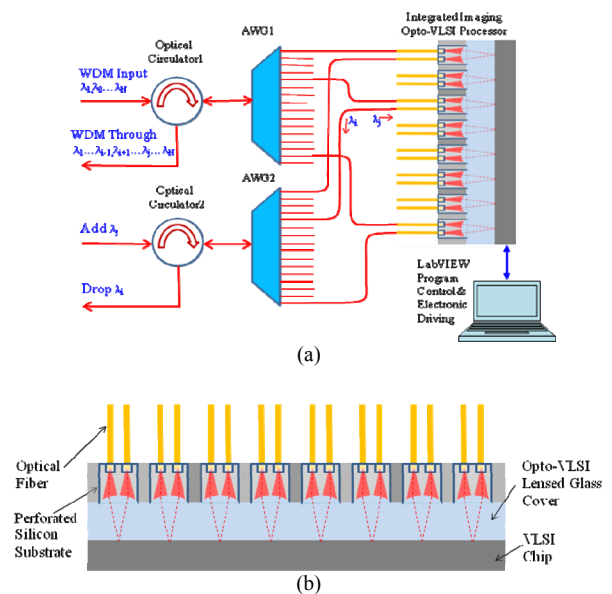
Reconfigurable optical add-drop multiplexers (ROADMs) are key devices in dynamic wavelength-division multiplexing (WDM) optical communication networks. A ROADM enables individual or multiple wavelengths carrying data channels to be added and/or dropped from a transport fiber without the need to convert the WDM channels to electronic signals and again back to optical signals. The main advantages of using ROADMs in optical networks are the ability to dynamically allocate the available network bandwidth to individual users without affecting the traffic, and to equalize the power levels of the different wavelength channels processed through every ROADM [1] [2]. ROADM structures based on micro-electro-mechanical systems (MEMS) have been reported [3], where small mirrors are deformed or reoriented using electrostatic forces to steer optical beams and couple them into different output fiber ports. Planar lightwave circuits (PLCs) have also been used for ROADM structures [4]. Opto-VLSI processors based on liquid crystal on silicon technology have recently been used for add/drop multiplexing of a large number of wavelength channels [5] [6].

In this paper, a new integrated ROADM structure based on 4-f imaging system implemented with the use of an Opto-VLSI processor and a fiber-lens collimator array, is proposed and demonstrated. By partitioning the Opto-VLSI processor into pixel blocks and driving each pixel block with a “drop” or a “thru (i.e. through)” steering phase hologram, arbitrary WDM channel add/drop multiplexing can be achieved.

## II. INTEGRATED ROADM STRUCTURES

The proposed ROADM structure is shown in Fig. 1 (a). It comprises two Arrayed Waveguide Gratings (AWGs) for wavelength multiplexing and demultiplexing, a silicon

substrate that integrates an array of fibers and an array of microlenses formed onto the top glass layer of an Opto-VLSI processor. As illustrated in Fig. 1(b). The silicon substrate is perforated at the top and bottom sides for accommodating optical fibers and microlenses, respectively. The fibers are partitioned into fiber pairs, each pair is positioned at the appropriate distance from the corresponding microlens to form a 4-f imaging system. Each lens has its optical axis offset in relation to the symmetry of the paired fibers so that through beam steering the signal from a fiber is switched to either of the fiber pairs, by means of multi-phase beam steering holograms (or blazed gratings) dynamically uploaded into the Opto-VLSI processor [7].



**Fig. 1.** (a). Opto-VLSI based ROADM structure with using two AWGs, arrayed fiber pairs and a lens array realizing any reconfigurable add-drop and through operations of wavelength signals; (b). The integrated imaging structure showing an array of fiber interfaces and an array of microlenses on top of a VLSI chip.

The input WDM signals in Fig. 1(a) are routed, through a circulator, to AWG1 for channel demultiplexing. Each wavelength channel is assigned to a fiber pair which forms a 4-f imaging system with its corresponding microlens. The upper fibers of the fiber pairs are connected to AWG1, while the lower fibers are connected to AWG2. Each lens is used to

collimate the divergent beam from its associated upper optical fiber. The spacing between the upper fiber and the optical axis of the corresponding lens is around a quarter of the fiber array spacing. The collimated beams (wavelength channels) from the different upper fiber ports are projected onto the active window of the Opto-VLSI processor, which is placed at a distance equal to the focal length of the microleses.

### III. EXPERIMENT AND RESULTS

The experimental setup for demonstrating the principle of the ROADM structure is shown in Fig. 2. A 1-dimensional 256-phase 4,096-pixel Opto-VLSI processor was used, having a pixel size of  $6\text{mm} \times 1\mu\text{m}$  with a dead space between adjacent pixels of  $0.8\mu\text{m}$ . An off-the-shelf 16-port fiber array of spacing  $250\mu\text{m}$  was used and aligned to a 4-element lens array of focal length  $2.42\text{mm}$  and spacing  $1\text{mm}$ . The Opto-VLSI processor was also aligned to and placed at  $2.42\text{mm}$  from the lens array in order to form 4-f imaging. To investigate the drop and thru operations of the ROADM structure, four optimized phase holograms were synthesised to steer two input wavelength channels to fiber port 2 for thru operation or to fiber port 3 for drop operation. Similarly, the other wavelength channel  $\lambda_2=1530.3\text{nm}$  that was simultaneously launched into fiber port 14, was steered to fiber port 14 for thru operation or to fiber port 15 for drop operation.

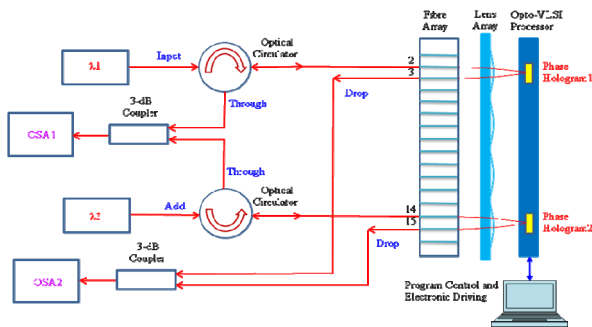


Fig. 2. Experimental setup demonstrating the add/drop and thru operations of the Opto-VLSI-based ROADM structure.

The measured optical power levels at the four output ports of the ROADM system for drop and thru scenarios are shown in Fig. 3 (a)-(d). Figs 3 (a) and (b) show the optical spectra of the signals received at the drop and thru ports when  $\lambda_1$  is passed thru while  $\lambda_2$  is dropped. For this scenario, the crosstalk, defined as the ratio of the thru or dropped power at  $\lambda$  to the power at  $\lambda$  received at the drop or thru port, was  $-34.7\text{dB}$  measured at  $\lambda_1$  in fiber port 3 and  $-27.5\text{dB}$  measured at  $\lambda_2$  in fiber port 14. Fig. 3(c) and (d) show the optical spectra of the signals received at the drop and thru ports when  $\lambda_1$  was dropped while  $\lambda_2$  was passed thru. For this scenario, the crosstalk was  $-28.6\text{dB}$  measured at  $\lambda_1$  in fiber 2 and  $-31.8\text{dB}$  measured at  $\lambda_2$  in fiber 15. These experimental results demonstrated the concept of the proposed ROADM structure.

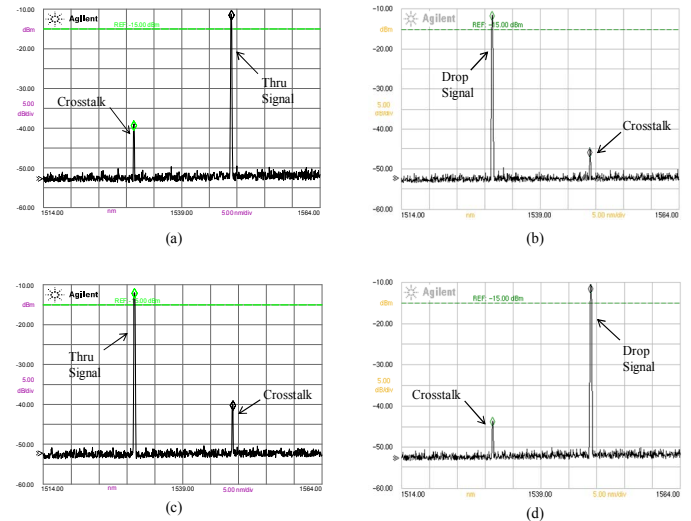


Fig. 3. Experimental results showing the drop and thru operations for  $\lambda_1$  and  $\lambda_2$  channels.

The input optical power levels for both signals  $\lambda_1$  and  $\lambda_2$  were  $+1\text{dBm}$ , the 3-dB coupler at the thru and the drop ports introduced around  $3.3\text{dB}$  loss, and the circulator loss was  $1.6\text{dB}$ . Therefore, with a measured output signal power of around  $-11.9\text{dB}$  the total insertion loss of the ROADM structure was therefore around  $8\text{dB}$ . This loss was mainly contributed by the fiber-to-fiber beam coupling loss, the Opto-VLSI loss and polarization dependent loss.

### IV. CONCLUSION

A novel integrated Opto-VLSI-based reconfigurable optical add-drop multiplexer structure utilizing a 4-f imaging system has been proposed and demonstrated experimentally. Experimental results have demonstrated the principle of add/drop multiplexing with crosstalk of less than  $-27\text{dB}$  and insertion loss around  $8\text{dB}$  over the C-band of optical telecommunications.

### REFERENCES

1. Peter J. Winzer, et al, "100-Gb/s DQPSK transmission: from laboratory experiments to field trials," J. Lightwave Technology, Vol. 26, No. 20, 3388-3402, (2008).
2. Ronald S. Bernhey, Muzaffer Kanaan, "ROADM Deployment, Challenges, and Applications," Proc. OFC/NFOEC, 1-3, (2007).
3. Michelle Muha, Brian Chiang, and Robert Schleicher, "MEMS Based Channelized ROADM Platform," Proc. OFC/NFOEC, 1-3, (2008).
4. Eldada, L.; Fujita, J.; Radojevic, A.; Izuhara, T.; Gerhardt, R.; Jiandong Shi; Pant, D.; Wang, F.; Malek, A., "40-channel ultra-low-power compact PLC-based ROADM subsystem," in Proc. OFC/NFOEC, NThC4, (2008).
5. B. Fracasso, J. L. de Bougrenet de la Tocnaye, M. Razzak, and C. Uche, "Design and performance of a versatile holographic liquid-crystal wavelength-selective optical switch," J. Lightwave Technology, Vol. 21, No. 10, 2405-2411, (2003).
6. G. Baxter, S. Frisken, D. Abakoumov, H. Zhu, I. Clark, A. Bartos and S. Poole, "Highly programmable wavelength selective switch based on liquid crystal on silicon switching elements," in Proc. OFC/NFOEC, OTuF2, (2006).
7. Jay Stockley and Steve Serati, "Advances in liquid crystal beam steering," Boulder Nonlinear Systems, [www.bnonliner.com](http://www.bnonliner.com), (2004).